

ETHICS DISCLOSURE STATEMENT CONFLICTS OF INTEREST – DECISIONS AND VOTING

State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL IC 4-2-6-9

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Indiana Office of the Inspector General

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

	L					
Name (last)	Name (first)		Name (middle)			
Shoaf Nathan		Lee				
Name of office or agency		Job title				
IDEM .		Technical Environmental Specialist				
Address of office (number and street)				ZIP code		
100 North Senate Avenue		•		IN		
Office telephone number	Office e-mail address (required)	į				
(317) 233-9897	nshoaf@idem.in.gov					
Describe the conflict of interest:						
Mr. Shoaf is a Technical Environmental S	enacialist with the Process Im	nroveme	at Team within the Of	fice of Land		
Wir. Official is a Technical Environmental C	pecialist with the 1 rocess in	proverne	it ream within the On	iloe oi Lanu		
Quality at IDEM. Mr. Shoaf has applied for a position at Purdue University. While Mr. Shoaf does not have any						
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project with Purdue, IDEM has been invo	lved in grants with Purdue U	niversity i	n the past.			
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Kathy Mills, the IDEM Ethics Officer and O	Commissioner Pigott have be	oth been r	otified, and a screeni	ing profile has		
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been implemented.						
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Describe the screen established by your ethics officer: (Attach additional pages as needed.)					
See attached.					

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AFFIRMATION					
Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your					
knowledge and belief. In addition to this form, you have attached a copy of your written dis appointing authority and ethics officer.	-				
Signature of state officer employee or special state appointee	Date signed (month, day, year)				
Printed full name of state officer, employee or special state appointee					
Nathan L. Shoaf					
FOR ETHICS OFFICER USE ONLY					
Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.					
Signature of ethics officer Pathles Mills	Date signed (month, day, year)				
Printed full name of ethics officer Kathleen Mills	• •				

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Protocol to Screen IDEM Employee Nathan Shoaf from Any Matters Regarding Purdue University

Indiana Office of the Inspector Genera

Nathan Shoaf is a Technical Environmental Specialist E7 within the Office of Land Quality ("OLQ") at the Indiana Department of Environmental Management ("IDEM"). His primary duty within OLQ is to assess and subsequently improve OLQ's work processes for the Remediation Services Branch. He reports directly to OLQ Assistant Commissioner Peggy Dorsey. His prior position was in the Remediation Services Branch of OLQ.

He has applied for the position of Urban Agriculture Coordinator with Purdue University. The duties of the Coordinator include conducting statewide needs assessments in urban environments to determine educational and research priorities of the urban agriculture program in Purdue Extension and developing programming designed to improve the success of urban agriculture entrepreneurs in Indiana.

IDEM, to date, has not assigned Mr. Shoaf to any matter involving Purdue University. However, because IDEM has had a business relationship with Purdue in the form of multiple contracts and grants, IDEM has instituted the following protocol to screen Mr. Shoaf from any potential conflict of interest concerning Purdue.

- 1. Assistant Commissioner Dorsey will not assign any current or future matters that may arise involving Purdue to Mr. Shoaf.
- 2. Mr. Shoaf shall recuse himself from the portion of any meeting in which discussions specific to Purdue or any of its interests are discussed or are expected to be discussed.
- 3. Mr. Shoaf shall not discuss any matter involving Purdue with any IDEM employee.
- 4. Mr. Shoaf shall not access any correspondence or files relating to or involving Purdue. Such documents, if not a matter of public record in IDEM's Virtual File Cabinet, shall be housed in the office of the employee assigned to the matter or kept where Mr. Shoaf does not have access to alter the documents.
- 5. Mr. Shoaf shall not participate in decisions regarding or relating to Purdue.
- 6. Mr. Shoaf shall immediately inform his supervisor and IDEM Ethics Officer Kathleen Mills if any provision of this screening protocol is violated.
- 7. Mr. Shoaf shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.
- 8. All signatories to this screening protocol shall review it within thirty (30) days from the date of the last signature to assure it has been followed and determine if the circumstances have changed to make the protocol no longer necessary.

Nathan Shoaf
Technical Environmental Specialist E7

 $\frac{11/19/2018}{\text{Date}}$

11/20/18

Peggy Dorsey

IDEM

Assistant Commissioner Office of Land Quality IDEM

Office of Land Quality

Date

Rothles-Mills

Kathleen Mills
Ethics Officer
Office of Legal Counsel
IDEM

11/19/18 Date

Shoaf, Nathan

From:

Shoaf, Nathan

Sent:

Monday, November 19, 2018 2:34 PM

To:

PIGOTT, BRUNO MILLS, KATHLEEN

Cc: Subject:

Screening Protocol Notification

Commissioner Pigott,

In accordance with the Ethics Code, I am notifying you that I have applied for the position of State Coordinator of Urban Agriculture at Purdue University. I have spoken with Kathleen Mills, and she prepared/signed/dated the Screening Protocol.

Sincerely,



Nathan Shoaf

Technical Environmental Specialist Process Improvement Team | Office of Land Quality Indiana Department of Environmental Management

(317) 233-9897 | nshoaf@idem.IN.gov







